PTO/SB/05 (12/97)
Approved for use through 09/30/00. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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135402/YOD Attorney Docket No. Total Pages UTILITY GERD:0087 First Named Inventor or Application Identifier PATENT APPLICATION O 7 Nitin Bhate et al. **TRANSMITTAL** (Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No. EL 365158244 US

| - | | | | | | 24 | | | | |
|----------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------|-------------|---------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|--|--|
| APPLICATION ELEMENTS | | | | | | Commissioner for Patents | | | | |
| See MPEP chapter 600 concerning utility patent application contents. | | | | ADDF | RESS | TO: P.O. Box 1450 Arlington, VA 22313-1450 | | | | |
| 1. | × | Fee Transmittal Form | ············ | 6. | | Microfiche Computer Program (Appendix) | | | | |
| 2. | (Submit an original, and a duplicate for fee processing) Specification Total Pages 23 (preferred arrangement set forth below) -Descriptive | | 7. | | Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) | | | | | |
| · | | -Descriptive -Cross References to Related A -Statement Regarding Fed spor -Reference to Microfiche Appen -Background of the Invention -Brief Summary of the Invention -Brief Description of the Drawin -Detailed Description -Claim(s) -Abstract of the Disclosure | sored R & D dix | | a. b. c. | ☐ Computer Readable Copy ☐ Paper Copy (identical to computer copy) ☐ Statement verifying identity of above copies | | | | |
| | | | | | | ACCOMPANYING APPLICATION PARTS | | | | |
| 3. | \boxtimes | Drawing(s) (35 USC 113) | Total Sheets <u>7</u> Total Pages <u>21</u> | 8. | \boxtimes | Assignment Papers (cover sheet & document(s)) | | | | |
| | | | . <u></u> | 9. | | 37 CFR 3.73(b) Statement ⊠ Power of Attorney (where there is an assignee) | | | | |
| 4. | Oath | or Declaration | | 10. | | English Translation Document (if applicable) | | | | |
| | a. b. | Newly executed (original or copy) □ Copy from a prior application (37CFR 1.63(d)) (for continuation/divisional with Box 17 completed) | | | \boxtimes | Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations | | | | |
| | | i. DELETION OF INVE | statement attached deleting d in the prior application, | | | Preliminary Amendment | | | | |
| 5. | Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by | | | 13. 14. | | Return Receipt Postcard (MPEP 503) Small Entity Statement filed in prior application Statement(s) Status still proper and desired | | | | |
| | | reference therein. | | 15. | | Certified Copy of Priority Document(s) (if foreign priority is claimed) | | | | |
| | | | <u> </u> | 16. | | Other | | | | |
| 17. Continuation Divisional Continuation-in-part (CIP) of prior application No:/ | | | | | | | | | | |
| \boxtimes | Custor | ner Number or Bar Code Label | 18. CORRESPO | DNDEN | CE A | Correspondence address below | | | | |
| | 03710 | | • | | • | ⊠ Correspondence address below | | | | |
| (Insert Customer No. or Attach bar code label here) | | | | | | | | | | |
| NAME Tait R. Swanson | | | | | | | | | | |
| | | Fletcher Yoder | | | | | | | | |
| ADDRESS | | P.O. Box 692289 | | | | | | | | |
| CITY | | Houston | STATE | T | | ZIP CODE 77269-2289 | | | | |
| | TDV | Houston | TELEPHONE | Texas | _ | | | | | |
| Runten Hour Statement: This form is estimated to take 0.2 hours to | | | | | 970-45 | | | | | |

comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450Box, Alexandria, VA 22313-1450.



PTO/SB/17 (10/96)

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| | Complete if Known | | | | | |
|---------------------------------------|------------------------|----------------------------|--|--|--|--|
| | Application Number | Unassigned | | | | |
| FEE TRANSMITTAL | Filing Date | March 31, 2004 | | | | |
| | First Named Inventor | Nitin Bhate et al. Unknown | | | | |
| | Group Art Unit | | | | | |
| | Examiner Name | Unknown | | | | |
| TOTAL AMOUNT OF PAYMENT (\$) 1,866.00 | Attorney Docket Number | 135402/YOD (GERD:0087) | | | | |

| METHOD OF PAYMENT (check one) | FEE CALCULATION (continued) | | | | | | |
|----------------------------------------------------------------------------------------------------------------------------------|-----------------------------|-----------------------------------------|-------------|-------------|----------------------------------------------------------------------------------|-------------------|--|
| The Commissioner is hereby authorized to charge indicated | 3. ADDITIONAL FEES | | | | | | |
| fees and credit any over payments to: | Large | Entity | Small | - | • | | |
| Deposit 07-0868/135402/YOD (GERD:0087) Account | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid | |
| Number | 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | 100100 | |
| Deposit General Electric Global Research Center - Account | 127 | 50 | 227 | 25 | Surcharge - late provisional filing or cover sheet. | _ | |
| Name | 139 | 130 | 139 | 130 | Non-English specification | _ | |
| Charge Any Additional Charge the Issue Fee Set in 37 | 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | _ | |
| Fee Required Under 37 CFR 1.18 at the Mailing of the CFR 1.16 and 1.17 Notice of Allowance, 37 CFR 1.31(b) | 112 | 920 | 112 | 920 | Requesting publication of SIR prior to Examiner action | _ | |
| 2. Payment Enclosed: | 113 | 1,840 | 113 | 1,840 | Requesting publication of SIR after Examiner action | _ | |
| ☐ Check ☐ Money Order ☐ Other | 115 | 110 | 215 | 55 | Extension for response within first month | _ | |
| | 116 | 400 | 216 | 200 | Extension for response within second month | _ | |
| FEE CALCULATION (fees effective 10/01/96) | 117 | 950 | 217 | 475 | Extension for response within third month | _ | |
| 1. FILING FEE | 118 | 1,570 | 218 | 755 | Extension for response within fourth month | _ | |
| Large Entity Small Entity | 119 | 310 | 219 | 155 | Notice of Appeal | | |
| Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$) | 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | | |
| 1001 750 2001 375 Utility filing fee <u>770.00</u> | 121 | 270 | 221 | 135 | Request for oral hearing | | |
| 1002 330 2002 165 Design filing fee | 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | | |
| 1003 520 2003 260 Plant filing fee 1004 750 2004 375 Reissue filing fee | 140 | 110 | 240 | 55 | Petition to revive unavoidably abandoned application | | |
| 1005 160 2005 80 Provisional filing fee | 141 | 1,320 | 241 | 660 | Petition to revive unintentionally abandoned application | _ | |
| SUBTOTAL (1) (\$) 770.00 | 142 | 1,320 | 242 | 660 | Utility issue fee (or reissue) | | |
| | . 143 | 450 | 243 | 225 | Design issue fee | | |
| 2. CLAIMS | 144 | 670 | 244 | 335 | Plant issue fee | | |
| Fee from Fee Paid | 122 | 130 | 122 | 130 | Petitions to the Commissioner | | |
| Extra below Total Claims 5 <u>0</u> - 20 = 3 <u>0</u> X 18 = 5 <u>40.00</u> | 123 | 50 | 123 | 50 | Petitions related to provisional applications | | |
| Independent 9 - 3 = 6 | 126 | 240 | 126 | 240 | Submission of Information Disclosure Stmt | _ | |
| Multiple Dependent Claims X = Large Entity Small Entity | 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | . <u>40.00</u> | |
| Fee Fee Fee Fee Description Code (\$) Code (\$) | 146 | 790 | 246 | 395 | Filing a submission after final rejection (37 CFR 1.129(a)) | _ | |
| 1202 18 2202 9 Claims in excess of 20 | 149 | 790 | 249 | 395 | For each additional invention to be examined | _ | |
| 1201 84 2201 42 Independent claims in excess of 3 | | r foo (end | acifu) | | (37 CFR 1.129(b)) | | |
| 1203 280 2203 140 Multiple dependent claim | | Other fee (specify) Other fee (specify) | | | | | |
| 1204 84 2204 42 Reissue independent claims over original patent | | SUBTOTAL (3) | | | SUBTOTAL (3) | (\$) <u>40.00</u> | |
| 1205 18 2205 9 Reissue claims in excess of of 20 and over original patent | * Re | * Reduced by Basic Filing Fee Paid | | | | | |
| SUBTOTAL (2) (\$) 1056.00 | | • | | | | | |

| Typed or Printed Name Talt R. Swanson Reg. Number 48,226 Signature Date March 31, 2004 Deposit Acct. User ID (GERD:0087) | SUBMITTED BY Complete (if applicable) | | | | | | | | |
|---------------------------------------------------------------------------------------------------------------------------|---------------------------------------|-----------------|--------|----------|--------|--|--|--|--|
| | Typed or Printed Name | Tait R. Swanson | Reg. N | lumber | 48,226 | | | | |
| | Signature | Jet none | Date | March 31 | 2004 | | | | |